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PATENT  
Serial No: 10/734,188  
Docket No.: 11884/409201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Rudolf E. von GLAN  
Application No.: 10/734,188  
Filed: December 15, 2003  
Group Art Unit: 2171  
Examiner: Unassigned  
For: DATABASE EARLY PARALLELISM METHOD AND  
SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The paragraphs marked below are applicable. It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action, Notice of Allowance, or any action that otherwise closes prosecution.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

☐ c. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 CFR §1.97(c)(2).

☐ 3. This Information Disclosure Statement is being filed after the mailing date of a final action, Notice of Allowance or an action that otherwise closes prosecution, but before payment of the Issue Fee. Applicant(s) hereby request(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

☐ 4. Relevance of the non-English language reference(s) is discussed in the present specification.

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☐ 5. The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

☐ 6. A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix attached hereto.

☐ 7. The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

☐ 8. This application is one of a series of related applications, identified in the attached Appendix, which are directed to related technical subject matter. The identification of those U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during the examination.

☐ 9. The reference(s) was/were cited by or submitted to the Office in parent application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).


☐ 10. English-language Abstracts of the non-English language references are attached hereto.

☐ 11. Since this application was filed after June 30, 2003, copies of U.S. references are not included.

☐ 12. Other.

Respectfully submitted,

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Modified PTO/SB/08A (08-00)

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| <p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> |                    | <p style="text-align: center;"><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td style="width: 50%;">10/734,188</td></tr><tr><td>Filing Date</td><td>December 15, 2003</td></tr><tr><td>First Named Inventor</td><td>Rudolf E. von GLAN</td></tr><tr><td>Group Art Unit</td><td>2171</td></tr><tr><td>Examiner Name</td><td>Unassigned</td></tr><tr><td>Attorney Docket Number</td><td>11884/409201</td></tr></table> |   | Application Number | 10/734,188 | Filing Date | December 15, 2003 | First Named Inventor | Rudolf E. von GLAN | Group Art Unit | 2171 | Examiner Name | Unassigned | Attorney Docket Number | 11884/409201 |
| Application Number   | 10/734,188         |   |   |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |
| Filing Date  | December 15, 2003  |   |   |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |
| First Named Inventor   | Rudolf E. von GLAN |   |   |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |
| Group Art Unit   | 2171               |   |   |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |
| Examiner Name  | Unassigned         |   |   |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |
| Attorney Docket Number   | 11884/409201       |   |   |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |
| Sheet  | 2                  | of  | 2 |                    |            |             |                   |                      |                    |                |      |               |            |                        |              |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|--|--------------------------|---|----------------|
| Examiner<br>Initials *                             | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|  |                          | DAVID REINER, et al., "The Kendall Square Query Decomposer" Kendal Square Research Corporation, Digest of Papers, Compcon Spring 93, IEEE Computer Society Press, pages 300-302, February 22, 1993  |                |
|  |                          | C. K. BARU, et al., "DB2 Parallel Edition", IBM Systems Journal, Volume 34, No. 2, pages 292-322, 1995  |                |
|  |                          | KEN RUDIN, "When Parallel Lines Meet", pages 81-88, May 1998  |                |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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